



Governor's Crime Commission
 1201 Front Street, Suite 200
 Raleigh, NC 27609
 Phone: (919) 733-4564 Fax: (919) 733-4625

Grant Number: 677

(Official GCC Use Only)

2009 Grant Pre-Application

1. Name of Project: Interoperable Community Corrections Communications	2. Committee Assignment: Crime Victims' Services () Juvenile Justice Planning () Criminal Justice Improvement (X) Gang Violence Prevention ()																											
3. Applicant Agency: (name, address, phone, fax) Department of Correction 214 W. Jones Street 4201 Mail Service Center Raleigh, NC 27699-4201 Phone: (919) 733-4926 Wake County Federal Tax ID: 56-6017634 Fiscal Year End Date: June 30 State Agency?: Yes	4. Program Priority: A B C D <input checked="" type="checkbox"/> E F 5. Project Starting and Ending Dates: 07/01/2009 - 06/30/2010																											
6. Authorizing Official: (name and title) Alvin Keller, Jr. Secretary	7. Type of Action:(select one) <input checked="" type="checkbox"/> First Application <input type="checkbox"/> Continuation of Grant / 2nd Year Grant																											
8. Financial Officer: (name, title, telephone) Byron Harris Controller (919) 716-3365	9. U.S. Congressional District(s): All N.C. Legislative District(s): House: All Senate: All Population of Project Area: 9,061,032																											
10. Implementing Agency: (name, address, telephone, fax) Department of Correction 214 West Jones Street 4201 Mail Service Center Raleigh, NC 27699 Phone: (919) 716-3700 Fax: (919) 716-3794	11. Project Director: (name, title, telephone, e-mail) Michael Evers Sr Spec Assistant to Secretary (919) 716-3700 emb02@doc.state.nc.us																											
12. Implementing Agency Profile: a. Non-profit, nongovernmental agencies, please attach a copy of your current year's line item operating budget and describe the sources of those funds. b. Attach a copy of what other funding sources and amounts, if any, have been committed for this project or have been applied for or are anticipated for the project. c. Number of Sworn Officers: 16230	14. Requested Budget Totals: <table border="1"> <thead> <tr> <th></th> <th>1st Year</th> <th>2nd Year</th> </tr> </thead> <tbody> <tr> <td>Personnel:</td> <td>\$0.00</td> <td>\$0.00</td> </tr> <tr> <td>Contractual:</td> <td>\$43,139.20</td> <td>\$0.00</td> </tr> <tr> <td>Travel:</td> <td>\$3,549.80</td> <td>\$0.00</td> </tr> <tr> <td>Supplies:</td> <td>\$0.00</td> <td>\$0.00</td> </tr> <tr> <td>Equipment:</td> <td>\$1,218,000.00</td> <td>\$0.00</td> </tr> <tr> <td>Total Budget:</td> <td>\$1,264,689.00</td> <td>\$0.00</td> </tr> <tr> <td>Subtract Match:</td> <td>-\$0.00</td> <td>-\$0.00</td> </tr> <tr> <td>Federal Request:</td> <td>\$1,264,689.00</td> <td>\$0.00</td> </tr> </tbody> </table>		1st Year	2nd Year	Personnel:	\$0.00	\$0.00	Contractual:	\$43,139.20	\$0.00	Travel:	\$3,549.80	\$0.00	Supplies:	\$0.00	\$0.00	Equipment:	\$1,218,000.00	\$0.00	Total Budget:	\$1,264,689.00	\$0.00	Subtract Match:	-\$0.00	-\$0.00	Federal Request:	\$1,264,689.00	\$0.00
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13. Project Summary: This project, funded under ARRA, will replace analogue communication devices consistent with law enforcement agencies switching to digital (VIPER) communication system and will provide two jobs for the life of the grant for technical and training assistance. Project Counties: All 100 counties of North Carolina																												

Problem and Project Operation

The Problem:

The NC DOC-Division of Community Corrections (DCC) is responsible for the supervision of 114,000 offenders located in all 100 counties. DCC has 2,594 positions, of these 2018 are certified officers that provide community supervision of the offenders. A communication device is provided to each certified officer as part of the officer's safety package that allows for immediate communication with local law enforcement in the event of an emergency. NC DOC does not have its own network communication system for statewide use; it uses radios compatible with the local enforcement network in place by county. NC, through the Highway Patrol, is moving to one 800MHz statewide voice/data system (VIPER) with access to all local law enforcement & emergency responders. DOC is a state partner with VIPER and must transition to that system as local law enforcement transitions over; otherwise, our probation officers will no longer have radio access which is critical to officer safety. An immediate need has been identified in 22 counties for replacement of radios to VIPER compatible radios due to law enforcement upgrading to the VIPER network. Without VIPER radios, probation officers will have no access to law enforcement via a communication system.

Project Operation:

NC DOC-DCC's failure to transition to the VIPER network will result in DCC certified officers having no ability to communicate with local law enforcement, which is an officer safety issue. Therefore DCC has developed short term and long term plans to transition to VIPER Digital Radios for statewide communication. The Governor has expressed publicly her support of this plan. Phase 1 (short term plan) will be completed during this project and will resolve the immediate communications need for 22 counties.

Phase 1 Plan: 406 radios will be replaced with VIPER 800mhz in the 22 counties identified based upon the following selection criteria:

Implementation date provided by local law enforcement agencies to convert to the VIPER system (occurs during the project time line);

DCC radios within these counties are not compatible with the VIPER system;

Communication inconsistencies within Judicial Districts represented by this project.

Project Goals, Objectives, Performance Measures, Evaluation Methods and Activities

Project Goal(s): To provide 800 MHz VIPER compatible radios to certified officers in the identified counties to ensure the continued ability to communicate with local law enforcement agencies.
Project Objective #1: Enable increased and improved communication by certified officers with local enforcement agencies through the VIPER network.
Performance Measure #1: 800 MHz VIPER compatible radios will be programmed and provided to certified officers in the identified counties.
Evaluation Method #1: Monitor the distribution, compatibility with the VIPER network, and usage with local law enforcement and DCC certified officers.
Project Objective #2:
Performance Measure #2:
Evaluation Method #2:
Project Objective #3:
Performance Measure #3:
Evaluation Method #3:
Project Objective #4:
Performance Measure #4:
Evaluation Method #4:

**Project Goals, Objectives, Performance Measures, Evaluation Methods
and Activities (continued)**

Project Objective #5:
Performance Measure #5:
Evaluation Method #5:
Project Objective #6:
Performance Measure #6:
Evaluation Method #6:
Project Objective #7:
Performance Measure #7:
Evaluation Method #7:

Project Goals, Objectives, Performance Measures, Evaluation Methods and Activities (continued)

Project Objective #8:
Performance Measure #8:
Evaluation Method #8:
Project Objective #9:
Performance Measure #9:
Evaluation Method #9:
Project Objective #10:
Performance Measure #10:
Evaluation Method #10:
<p>Project Activities:</p> <ul style="list-style-type: none"> (1) Purchase and receive 800 MHz handheld VIPER compatible radios - within 30 days of receipt of grant funds; (2) Obtain "Request to Use Radio Frequency" letters from local law enforcement agencies - within 60 days of receipt of grant funds; (3) Sign contract agreement with a Radio Technician I - within 60 days of receipt of grant funds; (4) Program radios (through DCC staff or vendor programming) - within 90 days of receipt of grant funds; (5) Issue 800 MHz handheld VIPER compatible radios to certified officers and conduct training - within 150 days of receipt of grant funds. <p>This project will be expedited to prevent a break in communication with local law enforcement. This project is critical to officer safety.</p>

Detailed Budget (continued)

B. CONTRACTUAL SERVICES

1. Individual Consultants: List by position, rate of pay and time required.

Position Title	Pay Rate	Time Required (hours)
Radio Engineer I	\$20.74	2080
	\$0.00	0
	\$0.00	0
	\$0.00	0

Individual Consultant Subtotal:	\$43,139.20
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2. Agency: List by name of agency, rate of pay and time required.

Agency	Pay Rate	Time Required (hours)
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0

Consultant Agency Subtotal:	\$0.00
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Total Contractual Services: \$43,139.20

C. TRAVEL: List cost for transporting clients and costs for travel and subsistence of project personnel.

In-State Travel Expenses Description: Travel will be required to designated counties across the state to train certified officers on the use of the radios

Total In-State Travel Expenses for All Positions \$3,549.80

Out of-State Travel Expenses Description:

Total Out of-State Travel Expenses for All Positions \$0.00

Subsistence for All Travel Description:

Total Subsistence for All Travel \$0.00

Client Transport Expenses Description:

Total Client Transport Expenses \$0.00

All Other Travel Expenditures Description:

Total for All Other Travel Expenditures \$0.00

Total Travel: \$3,549.80

Detailed Budget (continued)

Budget Category (one year only)

D. SUPPLIES AND OTHER OPERATING EXPENSES

(office supplies, field supplies, printing, computer software, office rent, registration and individual training costs)

Category	Total
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
	\$0.00
Total Operating Expenses:	\$0.00

E. EQUIPMENT

1. Purchase

Item Description	Unit Price	Quantity
VIPER Handheld Radios	\$3,000.00	406
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0
	\$0.00	0

Purchased Equipment Subtotal:	\$1,218,000.00
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2. Lease or Rental

Item Description	Unit Price	Quantity
	\$0.00	0
	\$0.00	0
	\$0.00	0

Leased Equipment Subtotal:	\$0.00
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Total Equipment:	\$1,218,000.00
TOTAL PROJECT COST:	\$1,264,689.00

Budget Summary

I. Description of Match:

Matching funds may include local, state or private funds, but not other federal funds.

A. Juvenile Justice Planning, Criminal Justice Improvement

1. 25% cash match only required

Describe amount and source of cash match:

Funding is through the American Recovery and Reinvestment Act of 2009 which requires NO MATCH.

2. For those Sheriff's Offices applying for program Priority E, under Criminal Justice Improvement, 50% match is required, one-half of which may be in-kind contributions.

Describe amount and source of match:

B. Crime Victims' Services

1. 25% cash match or in-kind match required for those applying for program priority C

Describe amount and source of cash or in-kind match:

2. 20% cash match or in-kind match is required for those applying for program priorities A,B,D & E

Describe amount and source of cash or in-kind match:

C. Gang Violence Prevention

1. 25% match is required, one-half of which may be in-kind contributions.

Describe amount and source of cash and/or in-kind match:

D. Request For Match Waiver



A limited number of match waivers will be granted to applicants applying to the Juvenile Justice Planning Committee. The awarding of waivers will be based on the availability of funds and the Commission's overall rating of the grant proposal. Because "match free" funds are limited and very competitive, applying for a waiver may reduce the likelihood of funding. A signature is required on page 13 when requesting a match waiver.

II. Budget Categories:	First Year	Anticipated Second Year
A. Personnel:	\$0.00	\$0.00
B. Contractual Service:	\$43,139.20	\$0.00
C. Travel:	\$3,549.80	\$0.00
D. Supplies/Operating Expenses:	\$0.00	\$0.00
E. Equipment:	\$1,218,000.00	\$0.00
F. Total Budget:	\$1,264,689.00	\$0.00
G. Subtract Matching Funds:	-\$0.00	-\$0.00
H. TOTAL FEDERAL REQUEST:	\$1,264,689.00	\$0.00

III. Projected Assumption of Project Costs:

The project director **MUST** state plans for the assumption of project cost after federal funds are no longer available to the project and describe how the project will continue to address the problem after the grant ends.

The Department of Correction is committed to providing adequate communication capabilities for certified officers and will assume costs for replacement and maintenance of the VIPER radios in the future.

Certifications

A. Certification of Non-Supplanting:

- The applicant hereby certifies that federal funds will not be used to supplant or replace funds or other resources that would otherwise have been made available for Juvenile Justice, Justice Assistance Grants, Victims of Crime Act, Violence Against Women Act, or Children's Justice projects.

B. Certification of Filing an Equal Employment Opportunity Program:

- The project director certifies that the applicant/grantee has formulated an Equal Opportunity Program, which is dated 07/09/2003 in accordance with the Amended Equal Employment Opportunity Guidelines 28 C.F.R.42.301, et seq., Subpart E, and that it is on file in the office of:

(Office) Department of Correction
(Name) LaVee Hamer
(Title) General Counsel
(Address) 214 W. Jones Street, Raleigh, NC 27603
(Telephone) (919) 716-3700

for review and audit by officials of the Department of Crime Control and Public Safety or the Office of Justice Programs as required by relevant law and regulations.

- The project director certifies that the Amended Equal Employment Guidelines have been read (28 C.F.R.42.301, et seq., Subpart E.) and that no Equal Employment Opportunity Program is required to be filed by the implementing agency.

C. Certification of Submission of Annual Audit:

- The project director certifies that a copy of the annual audit (required) will be submitted to the Office of State Auditor and the Department of Crime Control and Public Safety.

D. Certification of Submission of Project Reports:

- The project director certifies that a completed progress report (provided in the GCC Grant Award Package) will be submitted at the end of the 12 and 24 months, or more often if requested. If required, the project director certifies that quarterly reports will be submitted.

Certifications (continued)

E. Certification that Applicant is Eligible to Receive Federal Funds:

- The project director certifies that neither grant applicant nor any of its officers, directors or consultants are presently debarred, proposed for debarment, suspended, declared ineligible or voluntarily excluded from receiving federal funds. [If the director cannot make this certification, an explanation must be attached. If this certification cannot be provided, the applicant will not necessarily be denied participation in this program. The certification or explanation will be considered in connection with the determination by the Governor's Crime Commission as to whether or not to approve the application. However, if neither the certification nor an explanation is provided, the application will be rejected.]

F. Certification Regarding Lobbying: (for agencies receiving \$100,000 or more)

- The project director certifies that (1) no Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any agreement; (2) If any non-Federal funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal grant, the project director shall initial here _____ and complete and submit Standard Form #LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

G. Drug Free Workplace Compliance: (for state agencies only)

- I (project director) certify that (1) a drug-free workplace awareness program was held on ___ and/or will be held annually on 12/01 which all grant project employees are required to attend;(2) a copy of the agenda of that program, including an attendance sheet signed by all employees, will be provided to the Governor's Crime Commission;(3) a statement will be published notifying employees that any unlawful involvement with a controlled substance is prohibited in the grantees workplace and that specific actions will be taken against employees who violate this rule;(4) all employees will receive a copy of this notice;(5) all employees must agree to abide by the statement and to notify the applicant of any criminal drug statute conviction for a violation occurring in the workplace within 5 days of the conviction;(6) within 10 days of receiving such notice, the applicant will inform the Governor's Crime Commission of an employee's conviction;(7) any employee so convicted will be disciplined or required to complete a drug abuse treatment program; and (8) the applicant will make a good faith effort to maintain a drug-free workplace, in accordance with the requirements of Title V, Secs. 5153 and 5154 of the Anti-Drug Abuse Act of 1988.

Available Technical Assistance

If you need assistance in completion of the grant pre-application, please contact the appropriate program area planner at the Governor's Crime Commission by calling (919) 733-4564

Program Area Planners

Juvenile Justice Planning Committee:	Kimberly Wilson	Paul LaChance	Brandy Dolby
Crime Victims' Services Committee:	Misty Arnold	Frances Battle	Maria Fryer
Criminal Justice Improvement Committee:	Craig Turner	Wesley Clark	Navin Puri

CERTIFICATIONS: PROJECT DIRECTOR

Pre Application Number 677

Project Director*



I certify that I agree to comply with the general and fiscal terms and conditions of this application including special conditions; to comply with the provisions of the Act governing these funds and all other federal laws; that all information presented is correct; that there has been appropriate coordination with affected agencies; that I am duly authorized by the Applicant to perform the tasks of Project Director as they relate to the terms and conditions of this grant application; that costs incurred prior to grant approval may result in the expenses being absorbed by the implementing agency; that the receipt of grantor funds through the Governor's Crime Commission will not supplant state or local funds; and, that I understand that federal funds are limited to a maximum of twenty-four months.

Name: Michael Evers

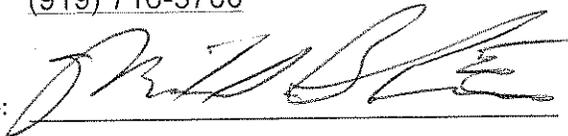
Title: Sr Spec Assistant to Secretary

Agency: Department of Correction

Address: 214 West Jones Street

Phone: (919) 716-3700

4201 Mail Service Center

Signature: 

Raleigh, NC 27699

Bonded: Yes No

*NOTE: The Project Director, Financial Officer, and Authorizing Official CAN NOT be the same person. Staff funded under this grant may not be any of the authorizing officials without direct Crime Commission approval.

THIS APPLICATION IS NOT COMPLETE WITHOUT THE ABOVE SIGNATURE.

CERTIFICATIONS: FINANCIAL OFFICER

Pre Application Number 677

Financial Officer*



I certify that I agree to comply with the general and fiscal terms and conditions of this application including special conditions; to comply with the provisions of the Act governing these funds and all other federal laws; that all information presented is correct; that there has been appropriate coordination with affected agencies; that I am duly authorized by the Applicant to perform the tasks of Financial Officer as they relate to the terms and conditions of this grant application; that costs incurred prior to grant approval may result in the expenses being absorbed by the implementing agency; that the receipt of grantor funds through the Governor's Crime Commission will not supplant state or local funds; and, that I understand that federal funds are limited to a maximum of twenty-four months.

Name: Byron Harris Title: Controller
Agency: Department of Correction Address: 214 W. Jones Street
Phone: (919) 716-3365 4201 Mail Service Center
Raleigh, NC 27699-4201
Signature: *Byron K. Harris* Bonded: Yes No

*NOTE: The Project Director, Financial Officer, and Authorizing Official CAN NOT be the same person. Staff funded under this grant may not be any of the authorizing officials without direct Crime Commission approval.

THIS APPLICATION IS NOT COMPLETE WITHOUT THE ABOVE SIGNATURE.

CERTIFICATIONS: AUTHORIZING OFFICIAL

Pre Application Number 677

Authorizing Official*



I certify that I agree to comply with the general and fiscal terms and conditions of this application including special conditions; to comply with the provisions of the Act governing these funds and all other federal laws; that all information presented is correct; that there has been appropriate coordination with affected agencies; that I am duly authorized by the Applicant to perform the tasks of Authorizing Official as they relate to the terms and conditions of this grant application; that costs incurred prior to grant approval may result in the expenses being absorbed by the implementing agency; that the receipt of grantor funds through the Governor's Crime Commission will not supplant state or local funds; and, that I understand that federal funds are limited to a maximum of twenty-four months.

The Anti-Drug Abuse Act of 1988 requires that subgrantees provide assurance that subgrant funds will not be used to supplant or replace local or state funds or other resources that would otherwise have been available for law enforcement and/or criminal justice activities. In compliance with that mandate, I certify that the receipt of federal funds through the Crime Commission shall in no way supplant or replace state or local funds or other resources that would have been made available for law enforcement and/or criminal justice activities.

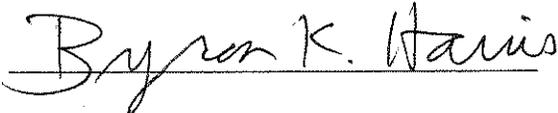
Name:	<u>Alvin Keller, Jr.</u>	Title:	<u>Secretary</u>
Agency:	<u>Department of Correction</u>	Address:	<u>214 W. Jones Street</u>
Phone:	<u>(919) 733-4926</u>		<u>4201 Mail Service Center</u>
			<u>Raleigh, NC 27699</u>
Signature:	<u></u>	Bonded:	<input type="checkbox"/> Yes <input type="checkbox"/> No

*NOTE: The Project Director, Financial Officer, and Authorizing Official CAN NOT be the same person. Staff funded under this grant may not be any of the authorizing officials without direct Crime Commission approval.

THIS APPLICATION IS NOT COMPLETE WITHOUT THE ABOVE SIGNATURE.

REQUEST FOR MATCH WAIVER
(Refer to limitations noted on budget summary page)

As the Authorizing Official for this grant pre-application, I am requesting that the Governor's Crime Commission grant this implementing agency a waiver of its match requirement.

Signature: 

Authorizing Official

4/6/09

RECEIPT

!!! IMPORTANT !!!

Governor's Crime Commission Grant Pre-Application Number: 677

Save this receipt as proof of submission of your online grant application. This is the only documentation that you will receive.

After printing the signature pages, have them signed and mail them, along with any required supporting documentation, to be received no later than January 31, 2009. (Support documentation includes your current year's operating budget and sources of those funds if you are a nonprofit agency. Attach a copy of what other funding sources and amounts, if any, have been committed for this project or have been applied for or are anticipated for the project.)

YOUR APPLICATION IS NOT COMPLETE UNTIL WE HAVE RECEIVED THE SIGNED SIGNATURE PAGES AND SUPPORTING DOCUMENTATION

MAILING INFORMATION

Mail the signature pages and supporting documentation to:

ATTN: WES WALTERS
GRANTS MANAGEMENT DIRECTOR
GOVERNOR'S CRIME COMMISSION
1201 FRONT STREET, STE 200
RALEIGH NC 27609

Mail letters of collaboration directly to the appropriate Lead Planner for the Committee to which you are applying at the same address as above:

Criminal Justice Improvement
Juvenile Justice Planning
Crime Victims' Services

Craig Turner, Lead Planner
Kim Wilson, Lead Planner
Barry Bryant, Lead Planner

REVISIONS

To make any revisions to your submitted application, **you must have your grant pre-application number and your Federal Tax ID Number** to access the application online. Simply choose "REVISE APPLICATION" rather than "NEW APPLICATION" and enter these two numbers. Proceed with making changes, saving each page, and submit revisions.

